

Reduced Mirror Neuron Activity in Schizophrenia and its Association With Theory of Mind Deficits: Evidence From a Transcranial Magnetic Stimulation Study

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Background: The “mirror-neuron system” has been proposed to be a neurophysiological substrate for social cognition (SC) ability. We used transcranial magnetic stimulation (TMS) paradigms to compare putative mirror neuron activity (MNA) in 3 groups: antipsychotic-naive, medicated schizophrenia patients, and healthy comparison subjects. We also explored the association between MNA and SC ability in patients. **Methods:** Fifty-four consenting right-handed schizophrenia patients (33 antipsychotic naive) and 45 matched healthy comparison subjects completed a TMS experiment to assess putative premotor MNA. We used 4 TMS paradigms of eliciting motor-evoked potentials (MEP) in the right first dorsal interosseous (FDI) muscle. These were applied while the subjects observed a goal-directed action involving the FDI (actual action and its video) and a static image. The difference in the amplitude of the MEP while they observed the static image and the action provided a measure of MNA. Subjects also underwent SC assessments (theory of mind [ToM], emotion processing, and social perception). **Results:** Two-way repeated measures ANOVA revealed significant group \times occasion interaction effect in 3 TMS paradigms, indicating deficient motor facilitation during action observation relative to rest state in antipsychotic-naive schizophrenia patients as compared with the other two groups. Among patients, there were significant direct correlations between measures of MNA and ToM performance. **Conclusions:** Antipsychotic-naive schizophrenia patients have poorer MNA than medicated patients and healthy controls. Measures of putative MNA had significant and consistent associations with ToM abilities. These findings suggest a possibility of deficient mirror neuron system underlying SC deficits in schizophrenia.

Key words: mirror neurons/social cognition/mental state attribution/psychosis/antipsychotic-naive/embodied simulation

Introduction

Deficits in social cognition (SC) have been amply demonstrated across different stages of schizophrenia.^{1,2} SC encompasses mental operations underlying social interactions, embracing subdomains of theory of mind (ToM), emotion processing, social perception and knowledge, and attributional styles.³ These deficits are more closely linked to functional outcome than general/nonsocial cognitive deficits.⁴ They are also associated with disorganization, reality distortion, and negative symptoms of schizophrenia.⁵

Mirror neurons are specialized nerve cells that are activated not only while performing an action oneself, but also while observing someone else perform that action.⁶ Mirror neuron-driven embodied simulation, captured by the “neural exploitation hypothesis,” has been proposed as a physiological substrate of social cognitive abilities in humans.⁷ This theory suggests that we reuse our own mental states represented with a bodily format in functionally attributing them to others. Here, neural exploitation refers to key aspects of human SC being produced by the exaptation of brain mechanisms originally evolved for sensory motor integration. Likewise, the social projection theory⁸ suggests that knowledge of oneself is used as a platform from which we understand others. Both these theories are grounded on the findings that mirror neurons become active “as if” we were executing the very same action that we are observing, thus mediating SC. In humans, mirror neuron activity (MNA) has been demonstrated using both direct (single cell recordings)⁹

and indirect methods, such as blood oxygenation level-dependent changes measured using magnetic resonance imaging (MRI),¹⁰ blood flow changes using positron emission tomography,¹¹ mu rhythm suppression using electroencephalography (EEG),¹² alpha band suppression and gamma band amplifications using magnetoencephalography,^{13,14} and motor-evoked potential (MEP) enhancement in transcranial magnetic stimulation (TMS) studies.¹⁵ A recent meta-analysis identifies various brain regions with mirror mechanism in humans, including inferior frontal gyrus, ventral premotor cortex, and inferior parietal lobule, being the most consistent.¹⁶

Studies exploring mirror mechanisms in schizophrenia have yielded contrasting findings. Greater mu wave suppression over the left hemisphere (representing greater MNA) was detected in actively psychotic schizophrenia subjects when compared with healthy participants and patients with residual symptoms.¹² In contrast, a magnetoencephalographic study in antipsychotic-naive schizophrenia patients demonstrated that during action observation they exhibited fewer waveforms and equivalent current dipoles in the right parietal lobe than healthy comparison subjects, thus reflecting a possible deficit in MNA.¹⁴ Another magnetoencephalographic study also demonstrated deficient MNA in schizophrenia patients compared with their discordant healthy twins.¹³ A study using TMS showed significantly lower MEP during action observation, than healthy comparison subjects, indicating poorer MNA in the patient group.¹⁷ The contrasting results across these studies maybe due to relatively small sample sizes, effect of medications, and differing methods used to assess MNA. Such work warrants replication as sound knowledge on MNA and its influence on SC in schizophrenia could have translational potential to guide newer treatment strategies.¹⁸

Crucial phenotypic manifestations of abnormal MNA (eg, gesture imitation) have been shown to be impaired in schizophrenia.¹⁹ It has also been demonstrated that schizophrenia patients with flat affect do not activate their prefrontal cortices during emotion processing, reflecting a lack of emotional resonance.²⁰ Moreover, there is emerging empirical evidence for the association between putative MNA measured using TMS paradigms and social impairments in adults with autism.²¹ These findings provide a mechanistic basis to understand the possible links between abnormal MNA and impaired SC and functioning in schizophrenia.

TMS is a noninvasive method to transiently excite the underlying cerebral cortex.²² When applied to the primary motor cortex, it produces peripheral MEPs, which are recorded using electromyography from hand muscles. Observation of actions using specific muscle enhances the amplitude of MEPs recorded from those muscles—this enhancement has been purported to be reflective of the mirror neuron system activity.²³

In this study we aimed to (a) compare a putative measure of MNA (motor facilitation during action observation relative to rest states) using different cortical excitability TMS paradigms, in antipsychotic-naive and medicated patients with schizophrenia and healthy comparison subjects; and (b) study the relation between measures of MNA and SC in the patient group. We hypothesized that (a) schizophrenia patients would have reduced MNA and impaired SC compared with healthy comparison subjects; and (b) MNA would positively correlate with SC in the patient group.

Methods

Subjects

We recruited 54 right-handed schizophrenia patients (33 antipsychotic-naive [never exposed to any antipsychotic medication] and 21 medicated) from the inpatient and outpatient services of the National Institute of Mental Health & Neurosciences, Bangalore. Thirty-three patients had no prior engagement with psychiatric services and were antipsychotic naive. Twenty-one patients were prescribed antipsychotic medications and their adherence to medications was confirmed by self-report and report from family caregivers who lived with them. They were diagnosed independently by two qualified psychiatrists according to Diagnostic and Statistical Manual of Mental Disorders, 4th Edition (DSM IV)²⁴ criteria, and confirmed using the Mini-International Neuropsychiatric Interview.²⁵ Patients with substance dependence in the previous 6 months (except nicotine), presence of comorbid neurological or medical disorder, clinically diagnosable or self-reported visual or auditory impairment, current pregnant or postpartum state, and a score of ≤ 19 on the Hindi Mental Status Examination²⁶ were excluded from the study. Patients were compared with 45 healthy comparison subjects, recruited from among acquaintances of the research staff (hospital staff and members from the community) and screened to rule out Axis-I psychiatric disorders using Mini-International Neuropsychiatric Interview—Screening.²⁵ None of the healthy comparison subjects had family history of psychotic disorder in first- and second-degree relatives as assessed by clinical interview. All subjects were assessed with (a) the Edinburgh inventory for handedness²⁷ and (b) TMS Adult Safety Screen²⁸ to screen for their potential to develop complications. The institute's ethics committee approved the study. After complete description of the study to the subjects, written informed consent was obtained.

Assessments

Symptoms. Patients' symptoms were assessed using the Positive and Negative Syndrome scale (PANSS).²⁹

Social Cognition. ToM and social perception were assessed using the Social Cognition Rating Tools in

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Indian Setting (SOCRATIS).³⁰ Emotion processing was assessed using the Tool for Recognition of Emotions in Neuropsychiatric Disorders (TRENDS).³¹

Theory of Mind Tasks included 2 each of first-order (based on Sally-Anne [Wimmer and Perner³²] and Smarties [Perner et al³³] tasks), second-order false belief picture stories (based on ice cream van [Perner and Wimmer³⁴] and missing cookies [Stone et al³⁵] tasks), 2 metaphor-irony stories,³⁶ and 10 faux pas recognition stories.³⁵ These story-based tasks examined the ability, at different complexity levels, to “meta-represent” mental states of others (eg, Suresh thinks that Rani will go to the temple area to buy the ice cream because she has not seen the ice cream man go toward the school).³⁰

Social Perception A set of 18 true/false questions were asked on social (eg, Ali asked many questions about the movie because he was trying to impress Sunil) and nonsocial cues (eg, Harish and Lakshmi were looking over a book together) after showing the subjects 4 each of low and high emotion videos depicting a social interaction.³⁰ This test was adapted from the social cue recognition test.³⁷

Emotion Processing Facial emotion recognition ability was assessed using 52 static images and 28 dynamic videos portraying 2 different intensities (low and high) of 6 basic human emotions (happy, sad, fear, anger, surprise, and disgust) depicted by 4 trained actors (1 young male, 1 young female, 1 older male, and 1 older female).³¹

Both SOCRATIS and TRENDS have been validated in the Indian cultural setting with satisfactory psychometrics. Each test provides an index of the respective test performance, which is equivalent to the score of an individual on the test divided by the maximum score possible.^{30,31} We considered metaphor and irony detection as first- and second-order ToM, respectively.³⁰ Faux pas recognition is often described as a higher order ToM ability.³⁸ However, in addition to mental state attribution (ie, purely ToM), it taps affective processing³⁹ as well—for instance, one of the clarifying questions assesses how a person in the story felt during the faux pas. Thus, we had 4 final scores that were used in the analysis, namely, ToM (combined first and second order), faux pas, social perception (combined low and high emotion), and emotion recognition (combined static and dynamic portrayals) indices.

TMS Experiment to Assess Putative MNA

Subjects underwent an experiment to assess motor cortical excitability during goal-directed action observation, relative to rest states, to elicit a putative measure of MNA. Similar approaches have been previously reported in normal subjects^{23,40} and various patient populations.^{17,21}

Subjects were seated comfortably in a chair, in a silent room, 50cm from the presentation monitor, with their elbows flexed at 90° and hands rested on the armrest of

the chair in a prone position.¹⁵ Single-pulse TMS was applied using a 70-mm figure-of-eight coil (MagPro R30 with MagOption; MagVenture, Farum, Denmark) positioned tangentially over the hand area of the left motor cortex, with the handle pointing posterolaterally at a 45° angle to the sagittal plane.

For each subject, the optimal coil position was determined based on standard methods described in previous studies^{41,42} for localizing the scalp area from which TMS elicited motor potentials of maximal amplitude in the right first dorsal interosseous (FDI) muscle. This site was marked with a skin marker pen to ensure uniformity of coil positioning throughout the experiment. The coil was held with both hands bracing the coil against the head.⁴² Magnetic pulses activate cortical pyramidal neurons, leading to corticospinal output that can be measured peripherally as a MEP using electromyography. Initially, all participants underwent a calibration session during which their motor thresholds (MTs) were determined. Resting MT (RMT) was defined as the minimum stimulation intensity (measured in percentage of maximum machine output) required, to evoke a >50- μ V MEP in the resting, right FDI muscle in at least 6 out of 10 consecutive trials, measured using electromyography.⁴³ MT of 1 mV (MT1) was defined as the minimum stimulation intensity, evoking 1 mV peak-to-peak amplitude in the resting, right FDI muscle in at least 6 out of 10 successive recordings.⁴³ Both RMT and MT1 were calculated using progressive reduction of stimulator intensity from supra-threshold levels in 1% decrements as described earlier.⁴¹

Next, participants underwent the experiment session (see figure 1 for illustration). Four stimulus paradigms (two single-pulse and two paired-pulse paradigms) were used to study cortical excitability while the participants watched three different action-related visual displays (see below).

Single-Pulse Paradigms

120% of RMT MEPs obtained with stimulus intensity equal to 120% of RMT were recorded. This stimulus intensity has been the most commonly implemented in studying putative mirror mechanisms using TMS.^{17,42}

Motor Threshold-1 MEPs obtained with stimulus intensity equal to MT1 were recorded.

Both 120% RMT and MT1 are a measure of membrane excitability of pyramidal neurons, being influenced by voltage-gated sodium channels.⁴⁴

Paired-Pulse Paradigms

Short Interval Intracortical Inhibition A subthreshold conditioning stimulus (80% of RMT) was given 3 milliseconds before a suprathreshold test stimulus (MT1) with the right hand at rest. The subthreshold conditioning stimulus excites only the cortical interneurons and therefore inhibits the MEP response to the test stimulus (conditioned MEP).⁴⁵ Gamma aminobutyric acid-type A (GABA_A) receptor agonists potentiate short

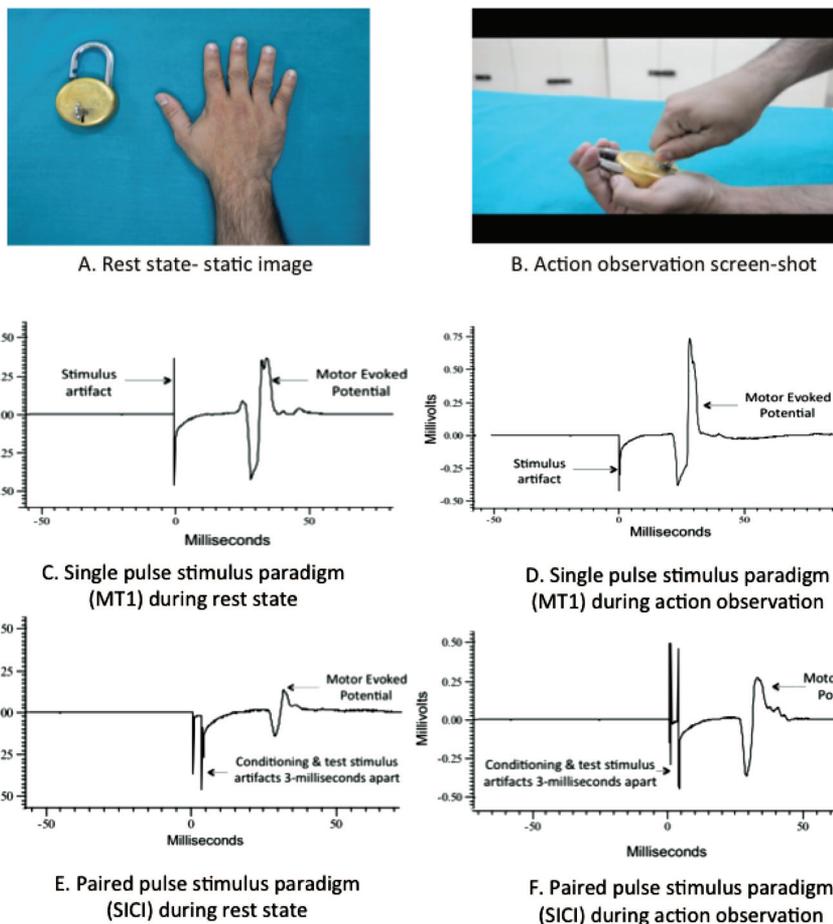


Fig. 1. Depiction of the rest/action observation states subjects were made to visualize during the transcranial magnetic stimulation experiment and the expected changes in motor-evoked potentials. (A and B) Represent the static image (A) of a hand/lock and key and the screen-shot (B) of video/actual action, depicting a goal-directed action that uses the right first dorsal interosseous muscle. Subjects watched these during rest state and action observation respectively. (C and D) Represent motor evoked potential (MEP) recordings with single pulse paradigm (motor threshold-1 stimulus-MT1) and expected changes in MEP during rest state (C) and action observation (D). Note the increase in MEP amplitude during action observation, with the use of same stimulus intensity. Recordings with other single pulse paradigm (120% of resting motor threshold) would also appear similar. (E and F) Represent the MEP recordings using paired pulse stimulus paradigm (short interval intracortical inhibition-SICI) during rest state (E) and action observation (F). Note the increase in MEP amplitude during action observation, with the use of same stimulus intensity. Long interval intracortical inhibition (conditioning and test pulses separated 100 milliseconds apart) changes during rest state and action observation would also appear similar. Note: Increase in MEP amplitude during action observation is reflective of mirror neuron activity in both single and paired pulse paradigms. This MEP facilitation is hypothesized to be due to cortico-cortical connections between the premotor mirror neuron regions and the motor cortex 15. While single pulse stimuli reflect neuronal cell membrane excitability 44, paired pulse paradigms reflect inhibitory GABAergic neurotransmission 46, 48 mediating putative mirror neuron activity. Please see text in methods section for further details.

interval intracortical inhibition (SICI), thus suggesting that SICI may be mediated by GABA_A receptor-mediated neurotransmission.⁴⁶

Long Interval Intracortical Inhibition A supra-threshold conditioning stimulus (MT1) is given 100 milliseconds before a suprathreshold test stimulus (MT1).⁴⁷ The suprathreshold conditioning stimulus activates GABA_B receptor-mediated inhibitory post-synaptic potentials, thus inhibiting the MEP response to the test stimulus (conditioned MEP). Thus, long interval intracortical inhibition (LICI) is thought to reflect cortical inhibition mediated through GABA_B receptor-mediated neurotransmission, based, eg, on

findings that baclofen, a specific GABA_B receptor agonist enhances LICI.⁴⁸

Both the paired-pulse paradigms (SICI and LICI) assess inhibitory functions of the motor cortex (ie, the extent to which the conditioning stimulus inhibits the response of the suprathreshold test stimulus). SICI and LICI were expressed as a percentage of the ratio between the conditioned MEPs and the nonconditioned MEPs with stimulus intensity of MT1; ie, (conditioned MEP/nonconditioned MEP) × 100.⁴⁹

Ten MEP recordings, using each of these 4 stimulus paradigms (total of 40 recordings), were elicited in random sequence with 5-second intervals, while the subjects observed each of the following:

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4.8
4.9
4.9
4.100
4.105
4.110
4.112

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taking risperidone, 4 olanzapine, 3 risperidone + olanzapine, 1 olanzapine + amisulpride, and 1 aripiprazole) with median duration of treatment with antipsychotics being 60 days and mean chlorpromazine equivalents of 413.43 ± 226.95 mg/d.⁵² The medicated patients had significantly greater proportion of inpatients than the antipsychotic-naive group. The diagnosis of paranoid subtype of schizophrenia was also more common in this group, albeit at trend level.

Baseline Cortical Excitability

MTs (RMT and MT1) were comparable across the 3 groups. MEP amplitudes recorded during the static image observation state for single-pulse paradigms were also comparable. Baseline SICI, but not LICI, was less in the antipsychotic-naive patient group compared with the other 2 groups (supplementary table 1).

Performance on SC Tests

Patients demonstrated significant deficits across all SC domains when compared with healthy comparison subjects as demonstrated in table 2. Post hoc analysis (Tukey's test) revealed better ToM and emotion recognition scores in medicated patients than antipsychotic-naive patients.

MNA Across the 3 Groups

In healthy comparison subjects, the test MEP was significantly higher during action observation than rest state for 120% RMT (1-way RMANOVA: $F(df) = 5.72(1,44)$, $P = .021$), MT1 ($F(df) = 6.54(1,44)$, $P = .014$), and SICI ($F(df) = 4.16(1,44)$, $P = .04$) stimulus paradigms, suggesting mediation of MNA. In contrast, antipsychotic-naive schizophrenia patients showed no significant difference between rest and action-observation states for 120% RMT ($F(df) = 2.74(1,32)$, $P = .11$), MT1 ($F(df) = 2.34(1,32)$, $P = .136$), SICI ($F(df) = 0.96(1,32)$,

$P = .335$) stimulus paradigms. In the medicated patient group, there were mixed results: MNA mediation was observed with MT1 ($F(df) = 4.88(1,20)$, $P = .039$) and SICI ($F(df) = 7.66(1,20)$, $P = .012$) stimulus paradigms, but not with 120% RMT ($F(df) = 0.38(1,20)$, $P = .545$) (see supplementary figure 1). The LICI stimulus paradigm did not reveal modulation by action observation (no influence of MNA) in any of these groups (data not shown).

Two-way RMANOVA revealed significant group \times occasion interaction effect for all stimulus paradigms except LICI, indicating deficient MNA in antipsychotic-naive schizophrenia patients as compared with the other 2 groups (see table 3 and supplementary figure 1). Separate 2-way RMANOVAs to examine the difference between medicated and antipsychotic-naive patients revealed a significant group \times occasion interaction effect for MT1 ($F(df) = 8.31(1,53)$, $P = .006$) and SICI ($F(df) = 7.29(1,53)$, $P = .009$) stimulus paradigms, and a trend level significance for 120% RMT ($F(df) = 3.78(1,53)$, $P = .058$) stimulus paradigm, indicating better MNA in medicated than in antipsychotic-naive patients.

Relationship of MNA With SC and Symptom Scores

We conducted separate Pearson's correlation analyses (table 4) for the 2 patient groups. MNA measured using the 120% RMT stimulus paradigm had a significant correlation with ToM index in the antipsychotic-naive patient group. MNA measured using MT1 and SICI paradigms had trend level correlations with the ToM index. The correlation coefficients in the medicated patient group were largely comparable to those in the antipsychotic-naive group, but were not statistically significant.

Subsequently, we conducted Pearson's correlational analyses between measures of MNA and SC in the combined patient group (antipsychotic-naive and medicated patients; $n = 54$). Significant positive correlation between putative MNA (measured using 120% RMT, MT1, and

Table 2. Social Cognition Performance of the Antipsychotic-Naive Schizophrenia Patients, Medicated Schizophrenia Patients, and Healthy Comparison Subjects

	Antipsychotic-Naive Schizophrenia Patients ($n = 33$)		Medicated Schizophrenia Patients ($n = 21$)		Healthy Comparison Group ($n = 45$) ^a		F^b
	Mean	SD	Mean	SD	Mean	SD	
Theory of mind index ^c	0.48	0.20	0.60	0.21	0.84	0.13	41.48
Faux pas composite index	0.41	0.26	0.44	0.21	0.84	0.18	43.99
Emotion recognition index ^c	0.53	0.15	0.66	0.07	0.78	0.08	45.59
Social perception index	0.49	0.16	0.56	0.16	0.83	0.06	77.24

^aPost hoc analysis using Tukey's HSD (honestly significant difference) test revealed significantly better social cognition test performance across all indices in the healthy comparison subjects compared with both the patient groups.

^bSignificance at $P < .0001$, degrees of freedom = 2,96.

^cTukey's HSD also revealed significantly better theory of mind ($P = .049$) and emotion recognition indices ($P = .0003$) in the medicated patient group than the antipsychotic naive patient group.

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Table 3. Motor-Evoked Potentials (in Millivolts) With Single- and Paired-Pulse Stimulation Paradigms During Rest and Action Observation Experimental States in Antipsychotic-Naive/Medicated Schizophrenia Patients and Healthy Subjects

MEP Stimulus Paradigms	Experimental State	Antipsychotic-Naive Schizophrenia Patients (<i>n</i> = 33)		Medicated Schizophrenia Patients (<i>n</i> = 21)		Healthy Comparison Group (<i>n</i> = 45)		F Statistics ^a		
		Mean	SD	Mean	SD	Mean	SD	<i>F</i> ₁	<i>F</i> ₂	<i>F</i> ₃
120% RMT	Rest	0.69	0.35	0.67	0.39	0.76	0.31	0.92	1.94	3.91*
	Action observation	0.65	0.37	0.69	0.38	0.85	0.27			
Motor threshold-I	Rest	0.89	0.28	0.79	0.32	0.89	0.24	5.42*	1.08	4.74*
	Action observation	0.85	0.27	0.89	0.24	0.96	0.2			
SICI	Rest	83.84	31.07	70.51	41.41	64.11	27.90	6.05*	1.26	3.21*
	Action observation	80.29	34.01	86.90	52.73	76.01	41.61			
LICI	Rest	35.82	26.33	27.89	19.09	42.18	45.38	0.88	1.45	1.29
	Action observation	29.83	23.53	27.95	21.84	42.63	47.98			

Notes: SICI and LICI were expressed as a percentage of the ratio between the conditioned MEPs and the nonconditioned MEPs with stimulus intensity of MTI; ie, (conditioned MEP/nonconditioned MEP) × 100. LICI, long interval intracortical inhibition; MEP, motor-evoked potentials; MTI, motor threshold of 1 mV; RMT, resting motor threshold; SICI, short interval intracortical inhibition.

^aTwo-way repeated measures ANOVA: *F*₁ = occasion effect, *F*₂ = group effect, *F*₃ = group × occasion interaction effect, with significance at **P* < .05.

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Table 4. Correlations (Pearson's *r*) Between Putative Mirror Neuron Activity and Measures of Social Cognition and Symptoms in Patients

	MNA Using MT1 Stimulus	MNA Using 120% RMT Stimulus	MNA Using SICI	MNA Using LICI
Antipsychotic-naive schizophrenia patients (<i>n</i> = 33)				
Theory of mind index	0.324***	0.443**	0.324***	0.18
Faux pas composite index	0.121	0.247	0.038	-0.14
Emotion recognition index	0.062	0.17	0.208	0.123
Social perception index	0.06	0.039	0.273	-0.112
Schizophrenia patients prescribed medication (<i>n</i> = 21)				
Theory of mind index	0.396***	-0.083	0.275	-0.18
Faux pas composite index	0.293	0.225	0.189	0.05
Emotion recognition index	0.242	-0.249	0.311	0.066
Social perception index	0.118	0.098	-0.03	0.357
PANSS-positive symptoms	-0.359	-0.253	-0.304	-0.178
PANSS-negative symptoms	0.025	0.068	0.217	-0.397***
Combined patient group (<i>n</i> = 54)				
Theory of mind index	0.413**	0.275*	0.361**	0.101
Faux pas composite index	0.196	0.247***	0.106	-0.092
Emotion recognition index	0.228	0.144	0.32*	0.149
Social perception index	0.145	0.096	0.203	0.036
PANSS-positive symptoms	-0.17	-0.06	-0.061	0.159
PANSS-negative symptoms	-0.04	-0.05	-0.02	-0.038

Notes: Abbreviations are explained in the first footnote to table 3. MNA, mirror neuron activity—measured as the difference between motor-evoked potentials at rest and action observation states using motor threshold-1 stimulus, 120% resting motor threshold stimulus, and short and long interval intracortical inhibition. Significance at ***P* < .01, **P* < .05, trend level correlation at ****P* < .08.

SICI stimulus paradigms) and ToM index was observed (table 4 and supplementary figure 2). In addition, MNA measured using the SICI stimulus paradigm also revealed a significant correlation with emotion recognition index. No other SC ability had significant correlations with measures of MNA. Further, there was no correlation between MNA and symptom scores (table 4). In healthy comparison subjects, none of the MNA measures had a significant correlation with any of the SC scores (data not shown). Given that the medicated group had a greater proportion of inpatients (*P* = .008) and paranoid subtype of schizophrenia (*P* = .076), we examined if illness subtype and inpatient status altered the relationship between ToM index and individual measures of MNA, using multiple-linear regression analyses. We found that the relationship between MNA and SC indices (ToM and emotion recognition) remained significant even after controlling for inpatient status and illness subtype (see supplementary table 2).

Discussion

In this study, we (a) compared putative MNA measured using TMS in patients with schizophrenia and matched healthy comparison subjects, and (b) explored the association between putative MNA and SC abilities in schizophrenia patients. We found greater enhancement of MEP during action observation relative to rest states in healthy comparison subjects and medicated patients

when compared with antipsychotic-naive schizophrenia patients. This finding suggests that antipsychotic-naive schizophrenia patients have significant deficits in putative MNA when compared with the other 2 groups. We also observed that in the combined patient group, there was a significant association between measures of MNA and performance on ToM tasks. Further, MNA measured using SICI stimulus paradigm had a significant association with emotion-processing abilities in addition to ToM. These associations were observed even after controlling for the effects of inpatient status and illness subtype.

The association between putative MNA measures and ToM index was more consistent in the antipsychotic-naive group, than in the medicated patient group. All measures of MNA except that measured using LICI paradigm had at least trend level significance of association with ToM index; 1 measure (120% RMT stimulus) reached significance of *P* < .05. The correlation coefficients (*r*) for this association in the medicated patient group were comparable to those observed in the antipsychotic-naive group. However, this did not reach statistical significance. As the correlation coefficients were comparable, the lack of statistical significance appears to be due to a type-2 error—it may be noted that the sample size in the medicated patient group was small (*n* = 21).

Our fairly consistent demonstration of poor MNA in antipsychotic-naive schizophrenia patients compared

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with medicated patients and healthy comparison subjects, replicates earlier findings of MNA deficits in patients with schizophrenia.^{13,14,17} Medicated schizophrenia patients showed better MNA than antipsychotic-naive schizophrenia patients. Both the antipsychotic-naive and medicated patient groups had comparable symptom severity ratings, possibly because many of the medicated patients were symptomatic inpatients, admitted for symptom exacerbations after stopping previously prescribed antipsychotics or having poor response to antipsychotics. Evidently, the difference in MNA across these 2 groups was not due to differences in their symptom severity. Moreover, we did not find any correlation between MNA and symptom severity scores.

It has been demonstrated that unmedicated schizophrenia patients have reduced GABA neurotransmission-driven cortical inhibition^{41,53} and MT (reflective of membrane excitability),⁴¹ compared with patients on antipsychotics and healthy controls. These findings have kindled hypotheses that antipsychotics may improve cortical inhibition and membrane excitability in schizophrenia by their property of modulating the baseline dopaminergic tone. In the context of this study, such an influence of antipsychotics on motor cortex excitability is likely to occur during the rest state as well as during action observation. Because MNA is measured as a difference in cortical excitability between rest state and observation of goal-directed actions, the observed difference in MNA is unlikely to be due to this property of antipsychotics.

The oxytocin-enhancing action of antipsychotic medications may possibly explain the observed differences in MNA across the 2 patient groups. Together, human⁵⁴ and animal⁵⁵ studies have demonstrated that antipsychotic medications increase both central and peripheral oxytocin levels. Oxytocin and dopamine interact in the nucleus accumbens and the ventral tegmental areas to regulate social bonding.⁵⁶ Interestingly, intranasal oxytocin administration enhances putative MNA as measured using EEG (mu wave suppression).⁵⁷ Collectively, these findings suggest the role of antipsychotic medications in adaptively modulating MNA. This, however, needs to be demonstrated in longitudinal study designs.

We also found consistent and significant associations between MNA measured using 3 out of 4 stimulus paradigms and ToM index in the patient group. In addition, we found a significant association between MNA measured using SICI stimulus paradigm and emotion-processing abilities in patients. This is a replication of our preliminary demonstration⁵⁸ of associations between MNA and SC abilities in schizophrenia patients. Consistent with this finding, antipsychotic-naive patients also had significantly lower ToM and emotion recognition indices than the medicated group.

We found that the SICI stimulus paradigm was significantly modulated by MNA in healthy comparison subjects and medicated patients, but not in antipsychotic-naive schizophrenia subjects. The LICI paradigm did not

show such MNA influence in any of the groups. A previous study demonstrated that action observation attenuates SICI, but not LICI in healthy individuals, suggesting the role of GABA_A receptor-mediated neurotransmission⁴⁰ in this process. Indeed, there is increasing evidence for abnormal GABA activity in schizophrenia as demonstrated by gene expression, immunohistopathological, in vivo brain imaging, and electrophysiological studies.⁵⁹ Our finding of impaired MNA mediation with SICI suggests the role of impaired GABA_A neurotransmission underlying MNA deficits in schizophrenia patients.

ToM or mental state attribution involves the ability to infer intentions, dispositions, and beliefs of others.³ In a functional MRI experiment, premotor MNA was shown to underlie the ability to understand intentions of others actions, which includes inferring forthcoming new goals.¹⁰ Not surprisingly, we observed a consistent association of different measures of MNA with ToM abilities, when compared with the other SC abilities examined. It is however important to understand that there are additional neural mechanisms underlying SC, and that the mirror system is one of the components of this complex social brain network, perhaps responsible for low-level mental state inference.⁶⁰ Such an association was not found in the healthy control group possibly due to a ceiling effect in their SC performance.

Investigational TMS paradigms similar to the ones used in this experiment have been extensively employed to measure putative MNA in humans.^{15,17,42} However, a few limitations of TMS as a measure of MNA need to be noted. It is an indirect quantification of mirror mechanisms. While intracranial depth electrodes give the most definitive evidence of MNA, their use in humans, especially in those with psychiatric disorders, is very challenging. Further, TMS experiments provide poorer spatial resolution than functional neuroimaging methods for eliciting MNA. Nevertheless, they have excellent temporal resolution, with millisecond precision⁶¹ and offer reliable functional resolution. During the TMS experiment, there was no objective attention task (eg, continuous performance test)¹² embedded during action observation to ensure optimal attention allocation. This raises the possibility that antipsychotic-naive schizophrenia patients had poorer MNA because they did not attend to the stimuli. However, efforts were made to ensure the subjects attended to the visual stimuli, by instructing them to do so and by having an experimenter monitor their behavior; all subjects who completed the TMS experiment were attentive. Finally, MNA recorded using TMS presumably reflects mirror properties of the premotor cortex, which through corticocortical connections to the motor cortex or corticospinal connections to the spinal cord¹⁵ result in greater MEPs from the hand muscle during action observation when compared with rest states. Measuring MNA from the motor cortex limits the generalizability of these experiments to possible premotor/inferior frontal gyrus mirror properties. MNA in other parts of the core mirror

system (inferior parietal lobule) and the extended mirror system (insular cortex, superior temporal sulcus, primary and secondary motor, and somatosensory cortices), which also underlie emotion processing and self-awareness are left unmeasured.¹⁶ Yet, the significant associations between ToM and different measures of premotor MNA in this experiment may support the neural exploitation hypothesis of mirror neuron-driven embodied simulation.

In conclusion, we provide evidence for deficient MEP enhancement during action observation relative to rest states, in antipsychotic-naïve schizophrenia patients as assessed using TMS. This indirectly indicates a dysfunction in the premotor mirror mechanisms of antipsychotic-naïve schizophrenia. Lower MNA in the patient group was associated with poorer ToM abilities, and perhaps poorer emotion processing. Given the emerging evidence for the role of SC deficits (ToM in particular, Fett et al⁴) in determining socio-occupational dysfunction, future studies should aim to study MNA in patients remitted from their active positive symptoms (but having persistent negative symptoms), and examine its association with ToM and a measure of their socio-occupational functioning. These findings contribute to our understanding of the neurophysiology of SC deficits in schizophrenia patients, thus providing novel treatment targets to be explored in future research.

Supplementary Material

Supplementary material is available at <http://schizophreniabulletin.oxfordjournals.org>.

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